

TRANSACTION FORM - MANDATE

Kindly complete the form in CAPITAL LETTERS and tick (✓) whenever appropriate.

For joint account holders, please ensure that the first and joint applicant's details are filled completely.

Date / /

Maybank Asset Management Sdn Bhd (MAM)

Maybank Islamic Asset Management Sdn Bhd (MIAM)

Mandate Type Discretionary Portfolio Mandate (DPM)

Non-Discretionary Portfolio Mandate

Transaction Type Initial Investment

Additional Investment

Withdrawal

A. PARTICULARS OF FUND

Scheme Name / Fund Name

B. PARTICULARS OF FIRST APPLICANT

Full Name

(as per NRIC / Passport No.)

New NRIC / Passport No.

Old NRIC

C. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)

Full Name

(as per NRIC / Passport No.)

New NRIC / Passport No.

Old NRIC

D. PARTICULARS OF COMPANY / INSTITUTION

Name of Company / Institution

(as per Certificate of Incorporation)

Business Registration / Certificate of Incorporation No.

E. INITIAL INVESTMENT / ADDITIONAL INVESTMENT

Kindly invest currency and / or securities (refer to Section G for "Details of Securities Transfer")

valued at currency into my / our discretionary / non-discretionary portfolio mandate account

via Telegraphic Transfer (TT) from my/our bank account.

Amount (in words)

Please provide the credit advice / copy of the TT transaction form for our reference.

F. WITHDRAWAL

A. Please redeem in Full Partial currency of my / our investment in the discretionary /

non-discretionary portfolio mandate account and remit the proceeds as per the instructions below:

Name of Account*	TT	Bank Name	Account No.*

* Note: Third Party Account is not allowed

B. Transfer all the securities (as per Section G for "Details of Securities Transfer") to my/our depository account registered with you towards Full Partial

withdrawal of the discretionary / non-discretionary portfolio mandate account.

The details of my/our depository account are as below:

Depository Name

CDS Account No.

G. DETAILS OF SECURITIES TRANSFER

If space is insufficient, please attach your details in a separate sheet.

No	Security No.	Security Name	Quantity
1			
2			
3			
4			
5			
6			
7			
8			

H. DECLARATION ON BORROWINGS FOR INVESTMENTS

I/We, hereby declare that:

- I/ We have borrowings for investments and does not require additional approval from BNM for this transaction.
 - For **individual**, investment in foreign currency assets in onshore and abroad up to RM1 million per calendar year.
 - For **entities**, investment in foreign currency assets in onshore and abroad up to RM50 million per calendar year.
- I/ We have borrowings for investments and has already obtained the necessary approval (as appended) from BNM for this transaction.
 - For **individual**, investment in foreign currency assets in onshore and abroad exceeding RM1 million per calendar year.
 - For **entities**, investment in foreign currency assets in onshore and abroad exceeding RM50 million per calendar year.
- No. I/We do not have any borrowings for investments.

By signing this form, I/we declare that:

1. I/We aware of the fees and charges that I/we shall incur directly or indirectly when investing in the fund.
2. I / We acknowledge that there has been no material change in the information obtained from the previous suitability assessment.
3. All the information given above is true, complete and correct;
4. This investment is consistent with my investment risk requirement and profile;
5. The concept of investment risk has been explained to me / us and I / we understand the risks involved;
6. I/ We am / are not an undischarged bankrupt; and
7. All monies and other properties to be injected for the purpose of investment activities by MAM are clean and clear from any money laundering activities by virtue of the provisions of Anti-Money Laundering and Anti-Terrorism Financing Act 2001.

I. DISCLOSURE

Investing with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you taking into account your objectives, attitude to risk and financial circumstances. You should be aware of risk but not limited to the following:

- i) The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- ii) You should assess your ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- iii) You may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments if unit price fall beyond a certain level. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- iv) Return of investments are not guaranteed and may go up and down.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms & conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

*Authorised Signatory

Name : _____
Date : [] [] / [] [] / [] [] [] []

**Authorised signatory(ies) as per Board Resolution with Maybank*

*Authorised Signatory

Name : _____
Date : [] [] / [] [] / [] [] [] []



FOR INTERNAL USE ONLY

Signature : _____
Name : _____
PF No. / Staff ID No. : _____
Date : [] [] / [] [] / [] [] [] []

UTC / CMSRL Holder Name : _____
FIMM / CMSRL No. : _____
Originating Centre/ Branch : _____